

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10775883
Filing Date	2004-02-09
First Named Inventor	ROBERT EUGENE HORMANN
Art Unit	1621
Examiner Name	BRIAN J. DAVIS
Attorney Docket Number	A9536

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5530028		1996-06-25	Zev LIDERT, et al.	
	2	6265173	B1	2001-06-24	Ronald M. EVANS, et al.	
	3	5880333		1999-03-09	Stephen A. GOFF, et al.	
	4	5283173		1994-02-01	Stanley FIELDS, et al.	
	5	6258603	B1	2001-07-10	Glenn Richard CARLSON et al.	
	6	4981784		1991-01-01	Robert M. EVANS, et al.	
	7	6300488	B1	2001-10-09	Fred H. GAGE, et al.	
	8	4954655		1990-09-04	Martha J. KELLY	

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9	4985461		1991-01-15	Adam C. HSU, et al.	
10	5071773		1991-12-10	Ronaki M. EVANS, et al.	
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18	5880333		1999-03-09	Stephen A. GOFF, et al.	
19	5283173		1994-02-01	Stanley FIELDS, et al.	

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20	6300488	B1	2001-10-09	Fred H. GAGE, et al.	
21	5530228		1996-06-25	Zev LIDERT et al.	
22	6265173	B1	2001-06-24	Ronald M. EVANS, et al.	
23	5880333		1999-03-09	Stephen A. GOFF, et al.	
24	5283173		1994-02-01	Stanley FIELDS, et al.	
25	6258603	B1	2001-07-10	Glenn Richard CARLSON et al.	

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Todd B. Buck/	Date (YYYY-MM-DD)	2007-05-25
Name/Print	Todd B. Buck	Registration Number	48574

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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